

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kenji HORI

Application No.: ~ Rule 53(b) Continuation Application of  
U. S. Patent Application No. 10/032,093 filed  
December 31, 2001

Filed: January 27, 2004

Docket No.: 111608.02

For: OPTICAL COMPONENT THICKNESS ADJUSTMENT METHOD, OPTICAL  
COMPONENT, AND POSITION ADJUSTMENT METHOD FOR OPTICAL  
COMPONENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

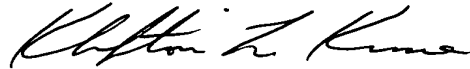
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. A co-pending U.S. patent application is identified on Form PTO 1449 of this Information Disclosure Statement. The Examiner is respectfully requested to consider each cited application and the art cited therein during examination of the present application.

- ☒ 3. The references were cited by or submitted to the Office in parent application No. 10/032,093, filed December 31, 2001, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

Respectfully submitted,



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**DEPOSIT ACCOUNT USE  
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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 111608.02		Rule 53(b) Continuation Application of U. S. Patent Application No. 10/032,093 filed December 31, 2001	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANT(S) Kenji HORI			
				FILING DATE January 27, 2004			

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1	6,226,128	05/2001	Shiozawa	359	642
	2	5,418,182	05/1995	Ford	437	129
	3	6,288,846 B1	09/2001	Stone, Jr.	359	742
	4	4,640,585	02/1987	Nojiri	359	652
	5	5,299,062	03/1994	Ogata	359	571
	6	2003/0082299	01/2003	Tomofuji	427	248.1
	7	10/665,568	09/2003	Hori		

FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
	8	JP A 2000-162409 w/abstract	06/16/2000	Japan		
	9	JP 5-164904 A w/abstract	06/29/1993	Japan		
	10	JP 10-241214 A w/English Translation	09/11/1998	Japan		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	11	Ueyanagi et al., "Proposal of a Near-Field Optical Head Using a New Solid Immersion Mirror", Japanese Journal of Applied Physics, Vol. 39, pp. 888-891, 2000

EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Date: January 27, 2004